



## PROTOCOL ON NOTIFICATION PROCEDURES

The Governments of Brunei Darussalam, the Republic of Indonesia, the Lao People's Democratic Republic, Malaysia, Union of Myanmar, the Republic of the Philippines, the Republic of Singapore, the Kingdom of Thailand and the Socialist Republic of Vietnam, Member States of the Association of Southeast Asian Nations (hereinafter referred to as "ASEAN");

HAVING regard to the obligations under all ASEAN economic agreements; and

DESIRING to promote transparency and to improve the operation of notification procedures under all ASEAN economic agreements;

**HAVE AGREED AS FOLLOWS:**

### ARTICLE 1

#### General Obligation to Notify

1. Member States shall abide by the notification **procedures** set out in this Protocol.
2. Member States shall notify any action or measure that they intend to take:
  - a) which may nullify or impair any benefit to other Member States, directly or indirectly under any ASEAN economic agreement; or
  - b) when the action or measure may impede the attainment of any objective of an ASEAN economic agreement.
3. Without affecting the generality of the obligations of Member States under paragraph 2, this notification procedure shall **apply**, but need not be limited, to changes in the measures as listed in Annex 1 and amendments thereto.
4. The provisions of this Protocol shall not apply to actions taken under emergency or safeguard measures of an ASEAN economic agreement.

## **ARTICLE 2**

### **Prior Notification of Intent**

1. A Member State shall make a notification before effecting such action or measure referred to in Article 1. Subject to any other notification period provided in an ASEAN economic agreement, notification shall be made at least 60 days before such an action or measure is to take effect.
2. A Member State proposing to apply an action or measure shall provide adequate opportunity for prior discussions with those Member States having an interest in the action or measure concerned.

## **ARTICLE 3**

### **ASEAN Bodies to be Notified**

A Member State shall notify SEOM and the ASEAN Secretariat in accordance with Article 2.

## **ARTICLE 4**

### **Content of Notification**

In submitting a **notification**, a Member State shall provide sufficient information regarding the proposed action or measure to be taken, which shall include:

- a) a description of the action or measure to be taken;
- b) the reasons for undertaking the action or measure; and
- c) the intended date of implementation and the duration of the action or measure.

## **ARTICLE 5**

### **Confidentiality of Notification**

The contents of the notification and all information relating to it shall be treated with confidentiality.

## ARTICLE 6

### **Follow-up to Notification**

1. The Member State concerned shall, without discrimination, allow adequate opportunities for other Member States to present their comments in writing and discuss these comments upon request. Discussions entered into by the Member State concerned with other Member States shall be for the purpose of seeking further clarification about the action or measure. The Member State may give due consideration to these written comments and the discussion in the implementation of the action or measure.
2. Other Member States shall present their comments within 15 days of the notification. Failure of a Member State to provide comments within the stipulated time shall not affect its right to seek recourse to a dispute settlement mechanism.
3. The Member State concerned shall furnish the ASEAN Secretariat with a copy of the comments received.

## ARTICLE 7

### **Role of the ASEAN Secretariat/Central Registry of Notifications**

1. The ASEAN Secretariat shall act as the central registry of notifications, including written comments and results of discussions.
2. The ASEAN Secretariat shall draw the attention of individual Member States to notification **requirements**, such as those stipulated in Article 4, which remain unfulfilled.
3. The ASEAN Secretariat shall make available information regarding individual notifications on request to any Member State.

## ARTICLE 8

### **Final Provisions**

1. Any amendment to this Protocol shall become effective upon acceptance by all Member States.

2. New **Members** of ASEAN shall accede to this Protocol by signing and depositing the instrument of accession with the Secretary-General of ASEAN.
3. This Protocol shall be deposited with the Secretary-General of ASEAN who shall promptly furnish a certified copy thereof to each Member State.
4. This Protocol shall enter into force upon the deposit of instruments of ratification or acceptance by all signatory **governments** with the Secretary-General of ASEAN.

IN WITNESS WHEREOF, the undersigned, being duly authorised thereto by their respective Governments, have signed the Protocol on Notification Procedures.

DONE at **Makati**, this 7<sup>th</sup> day of October 1998, in a single copy in the English language.

For the Government of Brunei Darussalam



**ABDUL RAHMANTAIB**

Minister of Industry and Primary Resources

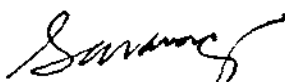
For the Government of the Republic of Indonesia



**RAHARDI RAMELAN**

Minister of Industry and Trade

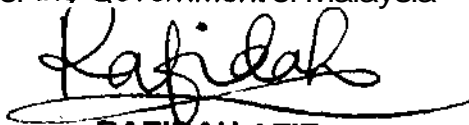
For the Government of the Lao People's Democratic Republic



**SOULIVONG DARAVONG**

Minister of Industry and Handicrafts

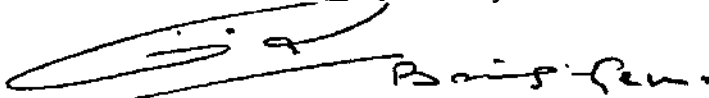
For the Government of Malaysia



**RAFIDAH AZIZ**

Minister of International Trade and Industry

For the Government of the Union of Myanmar



**BRIGADIER GENERAL DAVID O. ABEL**

Minister to the Office of the Chairman of the State Peace and Development Council


For the Government of the Republic of the Philippines



**JOSE TRINIDAD PARDO**

Secretary of Trade and Industry

For the Government of the Republic of Singapore



**LEE YOCK SUAN**

Minister for Trade and Industry

For the Government of the Kingdom of Thailand



**SUPACHAI PANITCHPAKDI**

Deputy Prime Minister and Minister of Commerce

For the Government of the Socialist Republic of Vietnam



**TRUONG DINH TUYEN**

Minister of Trade

## ANNEX I

### LIST OF NOTIFIABLE MEASURES

- a) CEPT tariffs
- b) CEPT Product List
- c) Quotas
- d) Surcharges
- e) Quantitative restrictions
- f) Other non-tariff measures
- g) Customs valuation
- h) Rules of origin
- i) Technical barriers/SPS
- j) Export taxes
- k) Licensing (import and export)
- l) Foreign exchange controls related to imports and exports
- m) Application of the ASEAN Harmonised Tariff Nomenclature beyond the 8-digit level for tariff purposes
- n) Schedule of specific **commitments**, list of MFN exemptions and other concessions in the ASEAN Framework Agreement on Services