

ASEAN MEMORANDUM OF UNDERSTANDING ON COOPERATION RELATING TO MARINE CASUALTY AND MARINE INCIDENT SAFETY INVESTIGATIONS

The authorities responsible for the safety investigation of marine incidents casualties and Darussalam, the Kingdom of Cambodia, the Republic of Indonesia, the Lao People's Democratic Republic, Malaysia, the Union of Myanmar, the Republic of the Philippines, the Republic of Singapore, the Kingdom of Thailand and the Socialist Republic of Viet Nam, Member States of the Association of Southeast Asian **Nations** (ASEAN) (hereinafter referred to collectively as "the Participating Parties" or individually as "each Participating Party" or "the Participating Party"),

RECOGNIZING the importance of the safety of life at sea and in ports and the growing urgency of protecting the marine environment and its resources;

RECALLING the importance of the requirements set out in the relevant international maritime conventions for ensuring maritime safety and marine environment protection;

HAVING noted the importance of conducting appropriate safety investigations into marine casualties and marine incidents that can lead to greater awareness of casualty causation and result in remedial measures, including better training, for the purpose of enhancing safety of life at sea and protection of the marine environment;

DESIRING a common interest to share expertise and experience and to establish a lasting framework for cooperation in the area of marine casualty and marine incident safety investigations;

COMMITTED to enhancing the capabilities and professionalism of their respective marine investigators; and

MINDFUL that the intended scope of cooperation in this Memorandum of Understanding needs to be harmonised with the International Maritime Organization (IMO) Code of the International Standards and Recommended Practices for a Safety Investigation into a Marine Casualty or Marine Incident (Casualty Investigation Code), as adopted through IMO Resolution MSC 255(84), which shall become mandatory by January 2010.

HAVE REACHED THE FOLLOWING UNDERSTANDING:

ARTICLE 1 OBJECTIVES

The Participating Parties, subject to the terms of this Memorandum of Understanding and the extent permitted by the applicable national laws, rules, regulations and national policies from time to time in force in each Participating Party, aim to cooperate in the conduct of marine casualty or marine incident safety investigations in the ASEAN region.

ARTICLE 2 AREAS OF COOPERATION

To the extent permitted by its applicable national laws, regulations and policies:

1. Each Participating Party may offer assistance and the use of its marine safety investigation manpower, facilities and

equipment to other Participating Parties as it deems appropriate and as resources permit to the extent practicable.

- 2. Each Participating Party may invite the other Participating Parties' marine safety investigators to participate or assist in its marine safety investigation processes.
- 3. The Participating Party may undertake appropriate steps, subject to the availability of resources, to positively consider all requests for assistance from another Participating Party.
- 4. Each Participating Party may invite the other Participating Parties' marine safety investigators to attend general and specialist marine safety investigation courses and undergo training courses which it conducts, where it deems appropriate and if suitable.
- 5. Each Participating Party may facilitate the attachment of the other Participating Parties' marine safety investigators as observers to its investigations of marine casualties and marine incidents, with a view to enhancing the other Participating Parties' understanding of its marine safety investigation requirements and procedures.
- 6. The Participating Parties may maintain regular contact with each other. Each Participating Party may organise visits to or meetings with another Participating Party or other Participating Parties with the aim of exchanging experiences, skills and technical knowledge, and of identifying in advance areas of possible assistance or cooperation, in particular the availability of specialised equipment, facilities and trained personnel in marine safety investigations.

- 7. Each Participating Party may endeavour to share with the other Participating Parties relevant information about a marine safety investigation which it has conducted and in which the other Participating Parties have expressed an interest, where it is necessary or desirable to do so for transport safety purposes.
- 8. A Participating Party may also request from another Participating Party technical assistance for capacity building in the organisation of a marine safety investigation, drafting of a marine safety investigation report, crisis management and communications.
- 9. The Participating Parties may, where possible and by mutual agreement, develop additional areas of cooperation in marine safety investigations.
- 10. Where there are important lessons to be learned in the conduct of marine safety investigations into marine casualties and marine incidents, and it is necessary or desirable to share the same for transport safety purposes, full marine safety investigation reports may be provided by the relevant Participating Party to other Participating Parties who have expressed an interest.

ARTICLE 3 DESIGNATED AUTHORITY

1. The designated authority responsible for the implementation of this Memorandum of Understanding for each Participating Party is the competent marine safety investigation authority responsible for the safety investigation of marine casualties and marine incidents or the maritime authority of the respective Participating Parties listed in the attached ANNEX A, whichever the case may be.

2. Each Participating Party undertakes to provide information on any change or addition to their designated authority in charge of marine safety investigations.

ARTICLE 4 CONFIDENTIALITY

- 1. Each Participating Party shall undertake to observe the confidentiality and secrecy of documents, information and other data received or supplied to the other Participating Party or Participating Parties during the period of the implementation of this Memorandum of Understanding or any other arrangements made pursuant to this Memorandum of Understanding.
- 2. The Participating Parties decide that the provisions of this Article shall continue to be binding between the Participating Parties, notwithstanding the termination of this Memorandum of Understanding.

ARTICLE 5 NON LIABILITY

- 1. Each Participating Party shall ensure that it will not, under any circumstances, make any demands of, or any claims against, the other Participating Party or Participating Parties for any matter or matters arising or resulting from any assistance which the latter Participating Party or Participating Parties has or have rendered to it under this Memorandum of Understanding.
- 2. The former Participating Party shall also ensure that no liability shall be borne by the latter Participating Party or Participating Parties, in the circumstances described in paragraph 1 above, for damages or consequential losses, direct or indirect, arising from any third-party claims against if

ARTICLE 6 FINANCE

Unless otherwise decided, each Participating Party shall bear its own cost for the implementation of the matters set out in this Memorandum of Understanding.

ARTICLE 7 CONSULTATIONS

In the ASEAN spirit of solidarity and cooperation, Participating Parties may consult each other from time to time to ensure the implementation of this Memorandum of Understanding.

ARTICLE 8 TRAINING

Participating Parties may, on their own or through the technical or financial assistance of the international and regional organisations, such as but not limited to, International Maritime Organization, the United Nations Economic and Social Commission for Asia and the Pacific (ESCAP), and United Nations Conference on Trade and Development (UNCTAD), conduct regional training seminars enhance the technical programmes or to capabilities and knowledge of their respective marine investigators who will be involved in marine casualty and marine incident safety investigations.

ARTICLE 9 SUSPENSION

Each Participating Party reserves the right, for reasons of national security, national interest, public order or public health, to suspend temporarily, either in whole or in part, the implementation of this Memorandum of Understanding. The suspension shall take effect immediately after notification has been given to the other Participating Parties through diplomatic channels.

ARTICLE 10 REVISION, MODIFICATION AND AMENDMENT

- 1. Any Participating Party may request in writing a revision, modification or amendment of all or any part of this Memorandum of Understanding.
- 2. Any revision, modification or amendment agreed to by the Participating Parties shall be reduced into writing and shall form part of this Memorandum of Understanding.
- 3. Such revision, modification or amendment shall come into effect on such date as may be determined by the Participating Parties.
- 4. Any revision, modification or amendment shall not prejudice the rights and obligations arising from or based on this Memorandum of Understanding before or up to the date of such revision, modification or amendment.

ARTICLE 11 SETTLEMENT OF DISPUTES

Any difference or dispute between the Participating Parties concerning the interpretation, implementation, and/or application of any of the provisions of this Memorandum of Understanding shall be settled amicably, through mutual consultation or negotiations between the Participating Parties through diplomatic channels, without reference to any third party or international tribunal.

LIST OF DESIGNATED AUTHORITIES

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ARTICLE 12 COMMENCEMENT, DURATION AND WITHDRAWAL

- 1. This Memorandum of Understanding shall come into effect upon signature by all Participating Parties.
- 2. A Participating Party shall notify its intention to withdraw from this Memorandum of Understanding by giving three months' notice in writing to the ASEAN Secretariat. In the event of a withdrawal by a Participating Party, the Participating Parties will provide for the continuance of any arrangement entered into under this Memorandum of Understanding but not fully performed prior to the withdrawal of that Participating Party.

IN WITNESS WHEREOF, the undersigned, being duly authorised by the Participating Parties, have signed this Memorandum of Understanding.

DONE at **Ha Noi**, **Viet Nam** this **10**th Day of **December** in the Year **Two Thousand and Nine**, in a single original copy in the English Language.

For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of Brunei Darussalam:

ALAİHUDDİN TAHA Permanent Secretary For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Kingdom of Cambodia:

LENG THUN YUTHEA

Undersecretary of State
Ministry of Public Works and Transport

For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Republic of Indonesia:



SUNARYO

Director General for Sea Transportation Ministry of Transportation

For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Lao People's Democratic Republic:

MATH SOUNMALA

Director General

Department of Planning and Cooperation Ministry of Public Works and Transport For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of Malaysia:

DATO' YAP KIN SIAN
Deputy Secretary General
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For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Union of Myanmar:

WIŃN PE

Director General
Department of Transport
Ministry of Transport

For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Republic of the Philippines:

THOMPSON C. LANTION

Undersecretary for Maritime Transport
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For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Republic of Singapore:

CHOI SHING KWOK
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For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Kingdom of Thailand:

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Permanent Secretary
Ministry of Transport

For the Authority Responsible for the Safety Investigation of Marine Casualties and Marine Incidents of the Socialist Republic of Viet Nam:

VUONG DINH LAM

Director General
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